

## UN Test Report

Name of Sample	Lithium Ion Battery 3UR16650-2-T1071
Consignor	SANYO Energy(Suzhou) CO.,LTD
Manufacturer	SANYO Energy(Suzhou) CO.,LTD
Test Method	United Nations "Recomenndations on the TRANSPORT OF DANGEROUS GOODS"
Criterion	United Nations "Recomenndations on the TRANSPORT OF DANGEROUS GOODS"
Appearance	Black
Test Date	2012/06/27 – 2012/07/10
Test Items	Altitude simulation, Thermal test, Vibration test, Shock test, External short circuit, Overcharged
Conclusion	The sample has passed the items of UN38.3.
Remark	Certification by Similar Model: 3UR16650-2-T1071 Ratio of (3UR16650-2-T1071)/(3UR16650-2-T0940) [Wh rating ratio]: 100%, [Voltage ratio]: 100%
Consignor Address	No.86 Sunwu Road, Xukou, Wuzhong District, Suzhou City, Jiangsu Province 215164, China

Sanyo Energy(Suzou) Co.,Ltd.

*K. Morina*  
\_\_\_\_\_  
Approval

*A. Tsutsui*  
\_\_\_\_\_  
Check

*Candy Tang*  
\_\_\_\_\_  
Writing

**CONFIDENTIAL**

Date: July 24, 2013

B: Checklist for Judging New Type Battery or not

Confirmation of presence of change in "The element which is given influence"

(Change ⇒ ○、No change ⇒ —)

When there is no change in all items, it is NOT considered to be a New Type Battery.

Model which UN regulation test has completed	3UR16650-2-T0940
Target model which is not a new type	3UR16650-2-T1071

Test Item (Function)	The element which is given influence	Presence of change
T1: Altitude Simulation (Decompression load)	<ul style="list-style-type: none"> <li>• Crimped part, Gasket (Cell)</li> <li>• Gas Release Vent, Cell Case (Cell)</li> <li>• Pack (Plastic) Case</li> <li>• Holding Member (Insulator, Insulation Tape, Both Sides Tape)</li> <li>• Coating materials</li> </ul>	—
T2: Thermal Shock (Repetition of high temp. and low temp.)	<ul style="list-style-type: none"> <li>• Crimped part, Gasket (Cell)</li> <li>• Gas Release Vent, Cell Case (Cell)</li> <li>• Finished state of Wound Electrodes (Cell)</li> <li>• Pack (Plastic) Case</li> <li>• Holding Member (Insulator, Insulation Tape, Both Sides Tape)</li> <li>• Coating materials</li> </ul>	—
T3: Vibration (Vibration load)	<ul style="list-style-type: none"> <li>• Finished state of Wound Electrodes (Cell)</li> <li>• Electric wiring member</li> <li>• Electronic Parts on a circuit board</li> <li>• Cell Holding Member (Adhesive, Both Sides Tape, Lib of Plastic Case)</li> </ul>	—
T4: Shock (Shock load)	<ul style="list-style-type: none"> <li>• Wiring Member</li> <li>• Electronic Parts on a circuit board</li> <li>• Cell Holding Member (Adhesive, Both Sides Tape, Lib of Plastic Case)</li> <li>• Finished state of Wound Electrodes (Cell)</li> </ul>	—
T5: External Short Circuit (Short current)	<ul style="list-style-type: none"> <li>• Over-voltage Protection</li> <li>• Current Control Device</li> <li>• Safety Device of cell (Cell)</li> <li>• Lead Tab</li> </ul>	—
T6 (Cell): Impact (Crash load)	<ul style="list-style-type: none"> <li>• Separator (Cell)</li> <li>• Insulation State in a cell (Cell)</li> </ul>	—
T7 (Pack): Overcharge (Charge load)	<ul style="list-style-type: none"> <li>• Overcharge Protection</li> <li>• Thermal Device</li> <li>• Safety Device of cell (Cell)</li> </ul>	—
Wh of cell	Is Wh difference of cell less than 20%?	—
Voltage of cell	Is increase of cell voltage less than 20%?	—
Judgment result	New Type or not	New <del>(Not new)</del>

Sanyo Energy (Suzou) Co., Ltd.

*K. Morina*

approval

*A. Tsutsumi*


Check

*Candy Tang*

Writing

## Certificate of UN test for Lithium ion battery

Sanyo Energy(Suzhou)Co., Ltd.



Morina Kenichi Senior Manager

Customer Model : AL13D32  
 Product Code : F12431746  
 Product Name : 3UR16650-2-T1071

We declare that this battery passed UN test.

Manual of Tests and Criteria (38.3 Lithium batteries)		Test results	Note	Number of test batteries	
No.	Test item				
T 1	Altitude simulation	Pass		First cycle  fully charged  4 batteries	After 50 cycles  fully charged  4 batteries
T 2	Thermal test	Pass			
T 3	Vibration	Pass			
T 4	Shock	Pass			
T 5	External short circuit	Pass			
T 6	Impact	Pass		First cycle 50% charged 5 cells for cylindrical cell, 10 cells for prismatic cell,	
T 7	Overcharge	Pass	For battery only	First cycle fully charged 4 batteries	After 50 cycles,fully charged 4 batteries
T 8	Forced discharge	-	For cell only	For cell only.	

\*The test data may contain additional test result other than above table.

## Lithium ion battery Specification


Item	Nominal value	Note
Watt-hour rating	56 Wh	
Nominal voltage	11.1 V	
Lithium equivalent content	4.54 g	

Above test procedures are compliant to the following manual.

(Manual of Tests and Criteria ST/SG/AC.10/11/Rev.5, PartIII, sub-section 38.3)

## Certificate of Package Drop Test for Lithium ion battery

Sanyo Energy(Suzhou)Co., Ltd.



Morina Kenichi Senior Manager

Customer Model : AL13D32  
Product Code : F12431746  
Product Name : 3UR16650-2-T1071

We declare that this battery passed UN test.

Test item	Test results	Note
Package Drop Test	Pass	The package shall be dropped from 1.2m high on to a concrete surface ( flat and horizontal ) with five orientations ( drop once a sample ) ; (1)flat on the bottom, (2)flat on the long side, (3)flat on the short side, (4)on the edge, (5)on the corner

## Lithium ion battery Specification

Item	Nominal value	Note
Watt-hour rating	56 Wh	
Nominal voltage	11.1 V	
Lithium equivalent content	4.54 g	

Above test procedures are compliant to the following regulation.

(Model Regulations ST/SG/AC.10/1/Rev.17, Special Provion188)

# UN Test Data (Model:3UR16650-2-T1071)

**1.Test Item:** Altitude simulation (T1)

**2.Test Purpose:** This test simulates air transport under low-pressure conditions.

**3.Test Procedure:**

Test cells and batteries shall be stored at a pressure of 11.6kPa or less for at least six hours at ambient temperature(20±5°C).

**SANYO Internal Procedure:**

As above.

**4.Test Requirements:**

No mass loss(less than 0.1%),no leakage,no venting,no disassembly,no rupture and no fire,and the voltage retention is not less than 90%.

**5.Test Date:** 2012/6/27

**6.Test Data**

Battery No.		Mass(g)		Mass loss (%) (≤0.1%)	Voltage(V)		Voltage Retention (%) (≥90)	Other event	Result	Judgement
		Before test	After test		Before test	After test				
At first cycle,in fully charged states	1	274.540	274.530	0.00	12.87	12.86	99.9	0	PASS	PASS
	2	274.420	274.400	0.01	12.86	12.85	99.9	0	PASS	
	2	275.000	275.020	0.01	12.83	12.83	100.0	0	PASS	
	4	274.650	274.630	0.01	12.85	12.84	99.9	0	PASS	
After 50 cycles ending in fully charged states	5	274.850	274.830	0.01	12.85	12.84	99.9	0	PASS	
	6	274.660	274.640	0.01	12.86	12.86	100.0	0	PASS	
	7	274.480	274.490	0.00	12.82	12.81	99.9	0	PASS	
	8	274.560	274.550	0.00	12.87	12.86	99.9	0	PASS	

Notes: L-Leakage, V-Venting, D-Disassembly, R-Rupture, F-Fire,  
0-No leakage, no venting, no disassembly, no rupture & no fire

## UN Test Data (Model:3UR16650-2-T1071)

### 1.Test Item: Thermal Test (T2)

**2.Test Purpose:** This test assesses cell and battery seal integrity and internal electrical connections. The test is conducted using rapid and extreme temperature changes.

### 3.Test Procedure:

Test cells and batteries are to be stored for at least six hours at a test temperature equal to  $75\pm 2^{\circ}\text{C}$ , followed by storage for at least six hours at a test temperature equal to  $-40\pm 2^{\circ}\text{C}$ . The maximum time interval between test temperature extremes is 30 minutes. This procedure is to be repeated 10 times, after which Atrial Narrow and batteries are to be stored for 24 hours at ambient temperature ( $20\pm 5^{\circ}\text{C}$ ). For large cells and batteries the duration of exposure to the test temperature extremes should be at least 12 hours.

### SANYO Internal Procedure:

As above.

### 4.Test Requirements:

No mass loss(less than 0.1%),no leakage,no venting,no disassembly,no rupture and no fire,and the voltage retention is not less than 90%.

**5.Test Date:** 2012/6/29-2012/7/4

### 6.Test Data

Battery No.	Mass(g)		Mass loss (%) ( $\leq 0.1\%$ )	Voltage(V)		Voltage Retention (%) ( $\geq 90$ )	Other event	Result	Judgement
	Before test	After test		Before test	After test				
At first cycle,in fully charged states	1	274.530	274.440	0.03	12.86	12.71	98.8	0	PASS
	2	274.400	274.320	0.03	12.85	12.70	98.8	0	PASS
	3	275.020	274.910	0.04	12.83	12.67	98.8	0	PASS
	4	274.630	274.570	0.02	12.84	12.69	98.8	0	PASS
After 50 cycles ending in fully charged states	5	274.830	274.740	0.03	12.84	12.69	98.8	0	PASS
	6	274.640	274.580	0.02	12.86	12.72	98.9	0	PASS
	7	274.490	274.380	0.04	12.81	12.68	99.0	0	PASS
	8	274.550	274.480	0.03	12.86	12.71	98.8	0	PASS

Notes: L-Leakage, V-Venting, D-Disassembly, R-Rupture, F-Fire,  
0-No leakage, no venting, no disassembly, no rupture & no fire

## UN Test Data (Model:3UR16650-2-T1071)

**1.Test Item:** Vibration (T3)

**2.Test Purpose:** This test simulates vibration during transport.

**3.Test Procedure:**

Cells and batteries are firmly secured to the platform of the vibration machine without distorting the cells in such a manner as to faithfully transmit the vibration. The vibration shall be a sinusoidal waveform with a logarithmic sweep between 7 Hz and 200 Hz and back to 7 Hz traversed in 15 minutes. This cycle shall be repeated 12 times for a total of 3 hours for each of three mutually perpendicular mounting positions of the cell. One of the directions of vibration must be perpendicular to the terminal face.

The logarithmic frequency sweep is as follows: from 7 Hz a peak acceleration of 1gn is maintained until 18 Hz is reached.

The amplitude is then maintained at 0.8 mm(1.6 mm total excursion) and the frequency increased until a peak acceleration of 8gn occurs (approximately 50Hz). A peak acceleration of 8 gn is then maintained until the frequency is increased to 200Hz.

**SANYO Internal Procedure:**

As above.

**4.Test Requirements:**

No mass loss(less than 0.1%),no leakage,no venting,no disassembly,no rupture and no fire,and the voltage retention is not less than 90%.

**5.Test Date:** 2012/7/6-2012/7/9

**6.Test Data**

Battery No.	Mass(g)		Mass loss (%) (≤0.1%)	Voltage(V)		Voltage Retention (%) (≥90)	Other event	Result	Judgement
	Before test	After test		Before test	After test				
At first cycle,in fully charged states	1	274.440	274.430	0.00	12.71	12.71	100.0	0	PASS
	2	274.320	274.300	0.01	12.70	12.70	100.0	0	PASS
	3	274.910	274.890	0.01	12.67	12.67	100.0	0	PASS
	4	274.570	274.540	0.01	12.69	12.69	100.0	0	PASS
After 50 cycles ending in fully charged states	5	274.740	274.730	0.00	12.69	12.69	100.0	0	PASS
	6	274.580	274.560	0.01	12.72	12.71	99.9	0	PASS
	7	274.380	274.350	0.01	12.68	12.67	99.9	0	PASS
	8	274.480	274.460	0.01	12.71	12.71	100.0	0	PASS

Notes: L-Leakage, V-Venting, D-Disassembly, R-Rupture, F-Fire,

0-No leakage, no venting, no disassembly, no rupture & no fire

# UN Test Data (Model:3UR16650-2-T1071)

**1.Test Item:** Shock (T4)

**2.Test Purpose:** This test simulates possible impacts during transport.

## 3.Test Procedure:

Test cells and batteries shall be secured to the testing machine by means of a rigid mount which will support all mounting surfaces of each test battery. Each cell or battery shall be subjected to a half-sine shock of peak acceleration of 150 g<sub>n</sub> and pulse duration of 6 milliseconds. Each cell or battery shall be subjected to three shocks in the positive direction followed by three shocks in the negative direction of three mutually perpendicular mounting positions of the cell or battery for a total of 18 shocks.

However, large cells and large batteries shall be subjected to a half-sine shock of peak acceleration of 50 g<sub>n</sub> and pulse duration of 11 milliseconds. Each cell or battery is subjected to three shocks in the positive direction followed by three shocks in the negative direction of each of three mutually perpendicular mounting positions of the cell for a total of 18 shocks.

## SANYO Internal Procedure:

As above.

## 4.Test Requirements:

No mass loss(less than 0.1%),no leakage,no venting,no disassembly,no rupture and no fire,and the voltage retention is not less than 90%.

**5.Test Date:** 2012/7/9

## 6.Test Data

Battery No.	Mass(g)		Mass loss (%) (≤0.1%)	Voltage(V)		Voltage Retention (%) (≥90)	Other event	Result	Judgement
	Before test	After test		Before test	After test				
At first cycle,in fully charged states	1	274.430	274.400	0.01	12.71	12.71	100.0	0	PASS
	2	274.300	274.280	0.01	12.70	12.70	100.0	0	PASS
	3	274.890	274.850	0.01	12.67	12.67	100.0	0	PASS
	4	274.540	274.520	0.01	12.69	12.69	100.0	0	PASS
After 50 cycles ending in fully charged states	5	274.730	274.710	0.01	12.69	12.69	100.0	0	PASS
	6	274.560	274.550	0.00	12.71	12.70	99.9	0	PASS
	7	274.350	274.350	0.00	12.67	12.67	100.0	0	PASS
	8	274.460	274.470	0.00	12.71	12.71	100.0	0	PASS

Notes: L-Leakage, V-Venting, D-Disassembly, R-Rupture, F-Fire,  
0-No leakage, no venting, no disassembly, no rupture & no fire



## UN Test Data (Model:3UR16650-2-T1071)

**1.Test Item:** External short circuit (T5)

**2.Test Purpose:** This test simulates an external short circuit.

**3.Test Procedure:**

The cell or battery to be tested shall be temperature stabilized so that its external case temperature reaches  $55\pm 2^{\circ}\text{C}$  and then the cell or battery shall be subjected to a short circuit condition with a total external resistance of less than  $0.1\text{ohm}$  at  $55\pm 2^{\circ}\text{C}$ . This short circuit condition is continued for at least one hour after the cell or battery external case temperature has returned to  $55\pm 2^{\circ}\text{C}$ . The cell or battery must be observed for a further six hours for the test to be concluded.

**SANYO Internal Procedure:**

As above.

**4.Test Requirements:**

External temperature of test batteries does not exceed  $170^{\circ}\text{C}$  and there is no disassembly, no rupture and no fire within six hours of this test.

**5.Test Date:** 2012/7/10

**6.Test Data**

Battery No.		Maximum temperature ( $^{\circ}\text{C}$ )	Other event	Result	Judgement
At first cycle, in fully charged states	1	55.0	0	PASS	PASS
	2	55.2	0	PASS	
	3	55.3	0	PASS	
	4	55.2	0	PASS	
After 50 cycles ending in fully charged states	5	55.0	0	PASS	
	6	54.9	0	PASS	
	7	54.7	0	PASS	
	8	54.7	0	PASS	

Notes: D-Disassembly, R-Rupture, F-Fire, 0-No disassembly, no rupture & no fire

## UN Test Data (Model:3UR16650-2-T1071)

### 1.Test Item: Crush (T6)

Applicable to prismatic, pouch, coin/button cells and cylindrical cells not more than 20 mm in diameter

**2.Test Purpose:** This test simulates mechanical abuse from an crush that may result in an internal short circuit.

### 3.Test Procedure:

Crush (applicable to prismatic, pouch cells and cylindrical cells not more than 20 mm in diameter)

A cell or component cell is to be crushed between two flat surfaces. The crushing is to be gradual with a speed of approximately 1.5 cm/s at the first point of contact. The crushing is to be continued until the first of the three options below is reached.

- (a) The applied force reaches  $13 \text{ kN} \pm 0.78 \text{ kN}$ ;
- (b) The voltage of the cell drops by at least 100 mV; or
- (c) The cell is deformed by 50% or more of its original thickness.

Once the maximum pressure has been obtained, the voltage drops by 100 mV or more, or the cell is deformed by at least 50% of its original thickness, the pressure shall be released.

A prismatic or pouch cell shall be crushed by applying the force to the widest side. A button/coin cell shall be crushed by applying the force on its flat surfaces. For cylindrical cells, the crush force shall be applied perpendicular to the longitudinal axis.

Each test cell or component cell is to be subjected to one crush only. The test sample shall be observed for a further 6 h. The test shall be conducted using test cells or component cells that have not previously been subjected to other tests.

### SANYO Internal Procedure:

As above.

### 4.Test Requirements:

External temperature of test batteries does not exceed  $170^{\circ}\text{C}$  and there is no disassembly and no fire within six hours after this test.

**5.Test Date** 2013/05/13

### 6.Test Data:

Cell No.	Maximum Temperature( $^{\circ}\text{C}$ )	Other event	Result	Judgement
At first cycle, 50% charged states	1	23.6	0	PASS
	2	23.6	0	PASS
	3	23.2	0	PASS
	4	23.4	0	PASS
	5	23.0	0	PASS
				PASS

Notes: D-Disassembly, F-Fire, 0-No disassembly & no fire

## UN Test Data (Model:3UR16650-2-T1071)

**1.Test Item:**Overcharge (T7)

**2.Test Purpose:** This test evaluates the ability of a rechargeable battery to withstand an overcharge condition.

**3.Test Procedure:**

The charge current shall be twice the manufacturer's recommended maximum continuous charge current.

The minimum voltage of the test shall be as follows:

- (a) when the manufacturer's recommended charge voltage is not more than 18V, the minimum voltage of the test shall be the lesser of two times the maximum charge voltage of the battery or 22V.
- (b) when the manufacturer's recommended charge voltage is more than 18V, the minimum voltage of the test shall be 1.2 times the maximum charge voltage.

Tests are to be conducted at ambient temperature. The duration of the test shall be 24 hours.

**SANYO Internal Procedure:**

Min.Charge Voltage:	22 V
Charge Current:	2.64 A

**4.Test Requirements:**

There is no disassembly and no fire within seven days of the test.

**5.Test Date:** 2012/6/28

**6.Test Data**

Battery No.		Event	Result	Judgement
At first cycle in fully charged states	1	0	PASS	PASS
	2	0	PASS	
	3	0	PASS	
	4	0	PASS	
After 50 cycles ending in fully charged states	5	0	PASS	
	6	0	PASS	
	7	0	PASS	
	8	0	PASS	

Notes: D-Disassembly, F-Fire, 0-No disassembly & no fire

## UN Test Data ( Model:3UR16650-2-T1071 )

**1.Test Item:** Drop Test

P.10/10

**2.Test Purpose:** This test simulates the drop of the packaging during transport.

**3.Test Procedure:**

Number of Test Samples (Per design type, Manufacturer ) and Drop Orientation For other than flat drops the centre of gravity must be vertically over the point of impact. Where more than one orientation is possible for a given drops, the orientation most likely to result in failure of the packaging must be used.

Packaging	Number of test samples	Drop orientation
Boxes of natural wood Plywood boxes Reconstituted wood boxes Fibreboard boxes Plastic boxes Steel or aluminum boxes Composite Packagings which are in the shape of a	Five (one for each drop)	First drop: flat on the bottom Second drop: flat on the long side Third drop: flat on the short side Fourth drop: on a long side edge Fifth drop: on a corner

**SANYO Internal Procedure:**

Packaging: Fiberboard boxes. Number of test samples: Five(one for each drop). It may do the drop of five orientations with one sample if the packing does not have the big damage.

Drop orientation: As above.

**4.Test Requirements:**

A Package passes the test if it meets the following criteria:

Each package is capable of withstanding a 1.2 meter drop test in any orientation without damage to cells or batteries contained therein, without shifting of the contents so as to allow battery to battery (or cell to cell) contact and without release of contents.

**5.Test Date:** 2013/7/18

**6.Test Results:** PASS(Drop height 1.2m)

6-1. No any package crack

6-2. No any cell damage and battery damage.

6-3. No any out side release of contents from shipping box

6-4. No any contact between battery and battery, cell and cell.

Packaging size:
321 * 185 * 215mm
Packaging weight :
8.3 kg